



3727

PTO/SB/21 (03-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/026,161
	Filing Date	12/21/2001
	First Named Inventor	ROBINSON
	Art Unit	3727
	Examiner Name	HYLTON
Total Number of Pages in This Submission	Attorney Docket Number	CG-1049

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	RETURN POSTCARD
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

RECEIVED
JUN 13 2003
TECHNOLOGY CENTER H3700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	JAMES E. COLE, REG. NO. 50,530
Signature	<i>James E. Cole</i>
Date	6-6-2003

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>6-6-2003</u>	
Typed or printed	LYNN MINTON
Signature	<i>Lynn Minton</i>
Date	6-6-2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Washington, D.C., United States of America

In re
Application
of Robinson et al.

Serial No.: 10/026,161

Filed: 12/21/2001

For: Closure for a Retort Processed
Container Having a Peelable Seal

IDS
#4
6/16/03
RECEIVED 3658
JUN 13 2003
TECHNOLOGY CENTER H3700

INFORMATION DISCLOSURE STATEMENT UNDER
37 CFR 1.97(c)(e)(1)

Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 1450

Dear Sir:

In accordance with 37 CFR §1.56, the references listed below are being brought to the attention of the Examiner for consideration in connection with the examination of the above identified patent application. A copy of the cited references is enclosed.

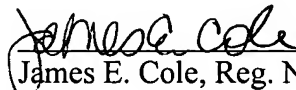
U.S. REFERENCES CITED:

<u>Patent</u>	<u>Inventor</u>	<u>Date</u>
6,276,643	German et al.	August 21, 2001
4,358,919	Hirota et al.	November 16, 1982

Certification Under 37 CFR §1.97(c)(e)(1)

This information is being submitted before the mailing date of any of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application. The prior art cited in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. No fee is required for the filing of this information disclosure statement due to the information given above.

Respectfully submitted,


James E. Cole, Reg. No. 50,530
MIDDLETON REUTLINGER
2500 Brown & Williamson Tower
Louisville, Kentucky 40202
ph. (502) 584-1135

Date: June 6, 2003

Encl: Form PTO/SB/21; PTO/SB/08A (including a copy of the references); Return postcard for evidencing receipt of above items